



PATENT

Atty. Dkt. No.: 7451.0010-01 InterTrust Ref. No.: IT-14.1 (US)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)					
Victor H. Shear, et al.	)					
Serial No.: 09/498,369	) Group Art Unit: 2768					
Filed: February 4, 2000	) Examiner: Unassigned					
For: SYSTEMS AND METHODS FOR MATCHING, SELECTING, NARROWCASTING AND/OR CLASSIFYING BASED ON RIGHTS AND/OR OTHER INFORMATION  Assistant Commissioner for Patents	RECEIVED  AUG-3 2000 TC 2700 MAIL ROOM					
Washington, D.C. 20231	MOOM					

### REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Enclosed is a copy of an Official Filing Receipt that was received from the U.S. Patent and Trademark Office. The Receipt has been reviewed and an error has been found. Please correct the inventor's address to read the following:

David M. Van Wie, **Eugene, OR** (address was updated on Response to Notice to File Missing Parts - copy attached)

A copy of the Filing Receipt with the requested changes in red is attached.

Also enclosed is a copy of the Response to Notice to File Missing Parts listing the new address of David M. Van Wie.

LAW OFFICES
FINNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L. L. P.
1300 I STREET, N. W.
WASHINGTON, DC 20005
202-408-4000

PATENT

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It is respectfully requested that a Corrected Official Filing Receipt be issued as soon as possible.

Since the error is on the part of the Patent Office, no fee is required.

However, if it is determined that any fees are due in connection with the filing of this Request, please charge such fees to Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: July 18, 2000

Linda J. Thaver

Reg. No.: 45,681

CPI-LIT



### CORRECTED FILING RECEIPT



\*OC00000005229145\*



## UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT SECRETARY AND COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS f	TOT CLAIMS	IND CLAIMS	
09/498,369	02/04/2000	2768	2002	07451.0010-01	000 96	ð.	11	
Finnegan Henderson Farabow Garrett & Dur 1300 I Street N W Washington, DC 20005		DATEM & TRADE	2 1 2000 TO		Da	2700 MAIL ROPM Maile	RECEIVED 07/10/200	

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

### Applicant(s)

Victor H. Shear, Bethesda, MD; Davd M. Van Wie, Sunnyvale, MI; Eugene, DR Robert P. Weber, Menlo Park, CA;



### **Continuing Data as Claimed by Applicant**

THIS APPLICATION IS A CON OF 08/965,185 11/06/1997

JUL 1 7 2000

FINNEGAN, HENDERSON, FARABOW, GARRETT AND DUNNER, LLP

### Foreign Applications

If Required, Foreign Filing License Granted 04/11/2000

**Title** 

Systems and methods for matching, selecting, narrowcasting, and/or classifying based on rights management and/or other information

**Preliminary Class** 

705

Data entry by: JAY, MARION

Team: OIPE

Date: 07/10/2000

0x1/7-a

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

#### PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the
  Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt
  along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231









Bib Data Sheet



## UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

SERIAL NUM 09/498,369	2	FILING DATE 02/04/2000 RULE	_	<b>LASS</b> 705	GRO	OUP ART UNIT 2768		D	ATTORNEY DOCKET NO. 07451.0010-01000	
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** FOREIGN APPLICATIONS ************************************										
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Systems and mo	t & Dur W 2000 <del>5 for</del>		- narrow	casting and/o						
FILING FEE RECEIVED No to charge/credit DEPOSIT ACCOUNT No for following:			All Fees  1.16 Fees (Filing)  1.17 Fees (Processing Ext. of time)  1.18 Fees (Issue)  Other  Credit							

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Victor H. Shear, et al.

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Filed: SYSTEMS AND METHODS FOR MATCHING,

SELECTING, NARROWCASTING AND/OR

CLASSIFYING BASED ON RIGHTS

MANAGEMENT AND/OR OTHER INFORMATION )

**Assistant Commissioner for Patents** 

Washington, D.C. 20231

Attention: **BOX MISSING PARTS** 

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the communication of April 11, 2000, Applicants submit a

Declaration/Power of Attorney for filing in the above-identified application, the required fee of \$130.00, and a copy of the Notice of Missing Parts. Please associate the enclosed declaration with the above identified application.

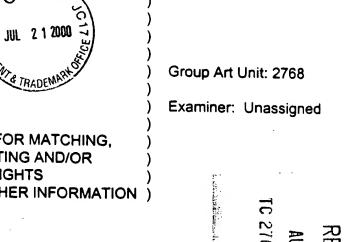
The Declaration enclosed herewith is a copy of the Declaration filed with parent application Serial No. 08/965,185, filed November 6, 1997. One of the inventors has since moved and the address on the Declaration is incorrect. The new address is as follows:

David M. Van Wie: 1780 East 25th Avenue, Eugene Oregon 97403

Residence Address: Eugene, OR

Filed concurrently herewith is a Revocation of Original Power of Attorney and Grant of New Power of Attorney whereby the Assignee hereby revokes all powers of attorney previously given and appoints Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. as attorneys of record.

LAW OFFICES
NNEGAN, HENDERSON,
FARABOW, GARRETT,
& DUNNER, L. L. P.
1300 I STREET, N. W.
'ASHINGTON, DC 20005



RECEIVED
AUG-3 2000

Please grant any extensions of time required to enter this response and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: June 8, 2000

Linda J. Thayer Reg. No. 45,681

NNEGAN, HENDERSON, FARABOW, GARRETT, & DUNNER, L. L.P. 1300 I STREET, N. W. ASHINGTON, DC 2000S 202-408-4000